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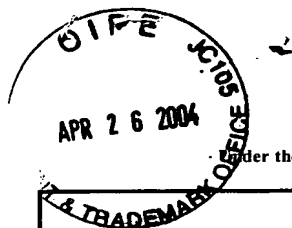
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Express Mail No	EV 452 772 615 US
Application Number	09/369,941
Filing Date	August 6, 1999
First Named Inventor	Kensil
Group Art Unit	1632
Examiner Name	Wilson, Michael C.
Attorney Docket No.	8449-156-999

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☒ Affidavit(s)/Declaration(s)
- iii. ☒ Supplemental Information Disclosure Statement (IDS)
- iv. ☒ Other Amendment Fee Transmittal

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months.  
(Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Jones Day Deposit Account No. 503013:
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e), estimated to be \$ 770.00
- ii. ☐ Extension of time fee required under 37 C.F.R. §§ 1.136 and 1.17, estimated to be \$ \_\_\_\_\_ for a \_\_\_\_\_ month extension, the request for which is being made herewith
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Adriane M. Antler	Registration No. (Attorney/Agent)	32,605
Signature	<i>Adriane M. Antler</i>	Date	April 26, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Name (Print/Type)		Registration No. (Attorney/Agent)	
Signature		Date	

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EXPRESS MAIL NO.: EV 452 772 615 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kensil

Confirmation No.: 7453

Application No.: 09/369,941

Group Art Unit: 1632

Filed: August 6, 1999

Examiner: Wilson, Michael C.

For: COMPOSITIONS OF CPG AND  
SAPONIN ADJUVANTS AND USES  
THEREOF

Attorney Docket No.: 8449-156-999

**AMENDMENT UNDER 37 C.F.R. § 1.114**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

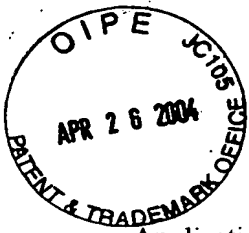
Sir:

In response to the Office Action mailed October 27, 2003, and in accordance with the Rules of Practice, please enter the following amendments and consider the remarks below. Attached herewith is a Declaration of Charlotte Kensil under 37 C.F.R. § 1.132, including Exhibits 1 through 13 and Appendix 1 ("Declaration"). Applicant also submits herewith: (1) a Supplemental Information Disclosure Statement Under 37 C.F.R. §1.56 and §1.97; (2) a Revised Form PTO-1449 listing references **D01-F30** with a copy of References **D01-F30**; (3) an Amendment Fee Transmittal; and (4) a Request for Continued Examination ("RCE").

Applicant notes that a Petition for Extension of Time for three months, up to and including April 27, 2004, with the required fee, was filed with Applicant's amendment of April 8, 2004.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 13 of this paper.



Express Mail No. **EV 452 772 615 US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: **Kensil** Confirmation No.: **7453**  
Serial No.: **09/369,941** Art Unit: **1632**  
Filed: **August 6, 1999** Examiner: **Wilson, Michael C.**  
For: **COMPOSITIONS OF CPG AND** Attorney Docket No: **8449-156-999**  
**SAPONIN ADJUVANTS AND**  
**USES THEREOF**

**FEE TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$2652.00.

The claim amendment fee has been estimated as shown below:

(Col. 1)			(Col. 2)	(Col. 3)	<input type="checkbox"/> SMALL ENTITY		<input checked="" type="checkbox"/> OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NO. PREVIOUSLY PAID	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL	170	MINUS	77	93	x 9	\$		x 18	\$ 1674.00
INDEP.	17	MINUS	9	8	x 43	\$		x 86	\$ 688.00
<input checked="" type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						\$		\$	290.00
TOTAL						\$	OR	TOTAL	\$ 2652.00

Please charge the required fee to Jones Day Deposit Account No. 503013. A copy of this sheet is enclosed.

Date: April 26, 2004

Respectfully submitted,

*Adriane M. Antler* 32,605  
Adriane M. Antler (Reg. No.)  
**JONES DAY**  
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